

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1536.1002 (JDH)

First Named Inventor or Application Identifier:

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Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO: Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 34 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 11 ]
4. ☐ Oath or Declaration ..... [ Total Pages: 3 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (*when there is an assignee*)      ☐ Power of Attorney
10. ☐ English Translation Document (*if applicable*)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
14. ☒ Small Entity Statement(s)      ☐ Statement filed in prior application, status still proper and desired.
15. ☒ Certified Copy of Priority Document(s) (*if foreign priority is claimed*) (JP 2000-085057, filed March 24, 2000)
16. ☐ Other:

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**
☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP) of prior application No:        /       
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For: POINT MANAGING METHOD, POINT MANAGING SYSTEM, CENTRAL UNIT  
AND RECORDING MEDIUM

### **SUBMISSION OF VERIFIED STATEMENT CLAIMING SMALL ENTITY**

Sir:

Attached are Verified Statements Claiming Small Entity Status Under 37 C.F.R.

§§1.9(f) and 1.27(c) by a Small Business Concern.

Respectfully submitted,

STAAS & HALSEY LLP

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**BIOGRAPHICAL DATA**

Applicant or Patentee: AD. KEN Corporation  
Serial or Patent No.: \_\_\_\_\_  
Filed or Issued: \_\_\_\_\_  
For: POINT MANAGING METHOD, POINT MANAGING SYSTEM, CENTRAL UNIT AND RECORDING MEDIUM

Atty Docket No. \_\_\_\_\_

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN)

I hereby declare that I am

- ( ) the owner of the small business concern identified below:  
(X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: AD. KEN Corporation  
ADDRESS OF CONCERN: 3-1-3, Saiwai-cho, Naniwa-ku, Osaka-shi, Osaka 556-0021, JAPAN

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

POINT MANAGING METHOD, POINT MANAGING SYSTEM, CENTRAL UNIT AND RECORDING MEDIUM

by inventor(s): Hisashi SAKAKIBARA  
described in:

- (X) the specification filed herewith.  
( ) application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Hisashi SAKAKIBARA  
TITLE OF PERSON OTHER THAN OWNER: President  
ADDRESS OF PERSON SIGNING: c/o AD.KEN Corporation, Naniwa-ku, Osaka-shi, Osaka, JAPAN  
SIGNATURE: Hisashi Sakakibara DATE: July 17, 2000

TITLE OF THE INVENTION  
POINT MANAGING METHOD, POINT MANAGING SYSTEM,  
CENTRAL UNIT AND RECORDING MEDIUM

5 BACKGROUND OF THE INVENTION

10 The present invention relates to a point managing method for managing various points such as communication points used for receiving on-line services, a point managing system that employs the method, a central unit for use in the system, and a recording medium on which a program for realizing the device is recorded.

15 With the development of communication networks such as the Internet, businesses that provide various services, such as giving communication points used for charged or free on-line services to customers and providing on-line games and on-line shopping according to the given points, have been increasing.

20 Moreover, various service providers and various retail shops, which give valuable points as rewards for using transportation facilities, such as an air line, and for consumption activity, such as purchasing products, and provide various products and services in exchange for the valuable points when the amount of given valuable points reaches a predetermined value, are popularized.

25 However, when the amount of points given as a reward

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for consumption activity does not reach the predetermined value that gives a chance to exchange the points for products and services, these points are useless and the term of validity of the points sometimes expires before  
5 exchanging the points for products and services.

Since the services of giving valuable points as a reward for consumption activity are provided by many service providers and retail shops, there is a circumstance that the consumers have difficulty in remembering which service  
10 providers and retail shops they use as customers (members). In such a circumstance, there is a possibility that the expiry date of the valuable points passes before the consumers notice the given valuable points.

Furthermore, there is such a problem that the service  
15 providers and retail shops have disadvantages from the viewpoint of the taxation system because the valuable points that are not exchanged for products and services are counted as profits on the account books.

Besides, there are objectives to be achieved by a  
20 business entity which provides various services according to communication points that the number of customers must be increased and the frequency in use of services must be improved.

25

## BRIEF SUMMARY OF THE INVENTION

The present invention has been made in view of the above circumstances, and a main object of the present invention is to provide a point managing system which enables a customer to effectively use valuable points without considering the term of validity by exchanging the valuable points for communication points by a preset exchange rate according to an instruction from the customer, enables a business entity that provides various services in exchange for communication points to obtain new customers and achieve an improvement in the frequency in use of services, and prevents service providers and retail shops that manage the valuable points from having disadvantages from the viewpoint of the taxation system; a point managing system employing the method; a central unit for use in the system; and a recording medium on which a program for realizing the device is recorded.

Another object is to provide a point managing method, point managing system, central unit and recording medium capable of confirming the status of obtained valuable points and exchanging the valuable points for communication points by transmitting identification information such as a membership number given from a business entity who provides valuable points to a central unit managed by a service provider who manages communication points, and thereby unitarily managing the valuable points so that valuable

points do not fall into oblivion.

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A point managing method according to the first invention, which is a method for managing points among a first business entity, a second business entity who  
5 cooperates with the first business entity and customers of the first and second business entities, the first business entity managing communication points used for on-line services, the second business entity managing valuable points given to customers as a reward for consumption  
10 activity, the method comprising the steps of: instructing the first business entity by the customer to exchange valuable points for communication points; requesting the second business entity by the first business entity to send valuable points given to the customer, according to the  
15 instruction; sending requested valuable points by the second business entity to the first business entity; and exchanging received valuable points for communication points by the first business entity, according to a preset exchange rate.

The point managing method according to the first  
20 invention enables the customer to extend the substantial term of validity of valuable points whose expiry date is approaching by exchanging the valuable points for communication points by a preset exchange rate according to the instruction from the customer and enables the customer  
25 to effectively use various valuable points of small values

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which are useless as individuals by adding up these valuable points for a single communication point, thereby accelerating the spread of communication points. Hence, the first business entity can obtain new customers and achieve an improvement in the frequency in use of services. Moreover, by introducing a business mode in which the charge of services calculated according to the exchange rate is paid from the second business entity to the first business entity, the second business entity can limit profits derived from the expiration of the term of validity of valuable points on an account book and consequently can never have disadvantages from the viewpoint of the taxation system.

A point managing system according to the second invention, which is a point managing system comprising a central unit for managing communication points used for on-line services, a cooperate-use terminal unit that is connected to the central unit and manages valuable points representing a reward for consumption activity, and a customer-use terminal unit that is connected to the central unit, wherein the customer-use terminal unit comprises a controller capable of performing the operation of transmitting to the central unit exchange instructing information instructing an exchange of valuable points for communication points, the central unit comprises: a communication point database that records information



related to communication points; an exchange database that records information related to an exchange rate between communication points and valuable points; and a controller capable of performing the operation of transmitting to the cooperate-use terminal unit valuable point request information requesting valuable points corresponding to the customer-use terminal unit according to received exchange instructing information; and the cooperate-use terminal unit comprises: a valuable point database that records information related to valuable points; a controller capable of performing the following operations: transmitting to the central unit valuable points corresponding to received valuable point request information; and updating the valuable point database according to the transmitted valuable points; and the controller of the central unit further capable of performing following operations: exchanging received valuable points for communication points according to an exchange rate recorded in the exchange database; and updating the communication point database according to the exchanged communication points.

The point managing system according to the second invention enables the customer to extend the substantial term of validity of valuable points whose expiry date is approaching by exchanging the valuable points for communication points by a preset exchange rate according to

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the exchange instructing information received from the customer-use terminal unit and enables the customer to effectively use various valuable points of small values which are useless as individuals by adding up these valuable points for a single communication point, thereby accelerating the spread of communication points. Hence, the first business entity who manages the central unit can obtain new customers and achieve an improvement in the frequency in use of services. Moreover, by introducing a business mode in which the charge of services calculated according to the exchange rate is paid to the first business entity from the second business entity who manages the cooperate-use terminal unit, the second business entity can limit profits derived from the expiration of the term of validity of valuable points on an account book and consequently can never have disadvantages from the viewpoint of the taxation system.

A point managing system according to the third invention is characterized in the system of the second invention that: the controller of the customer-use terminal unit further capable of performing following operations: accepting input of first identification information related to customers and second identification information that is different from the first identification information; and transmitting the first and second identification information

to the central unit; the controller of the central unit  
further capable of performing the following operations:  
authenticating the customer-use terminal unit based on  
received first identification information; and transmitting  
5 received second identification information to the  
cooperate-use terminal unit; the valuable point database  
provided in the cooperate-use terminal unit stores valuable  
points recorded to correspond to the second identification  
information, and the controller of the cooperate-use  
10 terminal unit further capable of performing the operation of  
extracting from the valuable point database valuable points  
to be transmitted to the central unit, according to received  
second identification information.

In the point managing system according to the third  
15 invention, by performing authentication based on the first  
identification information such as an ID number and a  
password given to a customer from the first business entity  
to ensure safety and then transmitting to the central unit  
the second identification information such as a membership  
20 number given to the customer from the second business  
entity, it is possible to confirm the status of obtained  
valuable points corresponding to the second identification  
information and exchange the valuable points for  
communication points. It is therefore possible to unitarily  
25 manage the valuable points through the central unit, improve

the convenience of the customer, and prevent the valuable points from falling into oblivion.

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A central unit according to the fourth invention, which is connected to a cooperate-use terminal unit for managing valuable points representing a reward for consumption activity and a customer-use terminal unit, for managing communication points used for on-line services, comprising: a communication point database that records information related to communication points; an exchange database that records information related to an exchange rate between communication points and valuable points; and a controller, coupled to the communication point database and said exchange database, and capable of performing the following operations: when exchange instructing information instructing an exchange of valuable points for communication points is received, for transmitting to the cooperate-use terminal unit valuable point request information requesting valuable points corresponding to the customer-use terminal unit according to the received exchange instructing information; when valuable points corresponding to the transmitted valuable point information are received, for exchanging the received valuable points for communication points according to an exchange rate recorded in the exchange database; and updating the communication point database according to the exchanged communication points.

The central unit according to the fourth invention enables the customer to extend the substantial term of validity of valuable points whose expiry date is approaching by exchanging valuable points for communication points by a  
5     preset exchange rate according to the exchange instructing information received from the customer-use terminal unit and enables the customer to effectively use various valuable points of small values which are useless as individuals by adding up these valuable points for a single communication  
10    point, thereby accelerating the spread of communication points. Hence, the first business entity who manages the central unit can obtain new customers and achieve an improvement in the frequency in use of services. Moreover, by introducing a business mode in which the charge of  
15    services calculated according to the exchange rate is paid to the first business entity from the second business entity who manages the cooperate-use terminal unit, the second business entity can limit profits derived from the expiration of the term of validity of valuable points on an  
20    account book and consequently can never have disadvantages from the viewpoint of the taxation system.

A computer readable recording medium according to the fifth invention, which is a computer readable recording medium including thereon a recorded computer program for  
25    causing a computer having communication means to manage

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communication points used for on-line services, comprising:  
computer readable program code means, when exchange  
instructing information instructing an exchange of valuable  
points representing a reward for consumption activity for  
5 communication points is received, for causing a computer to  
transmit valuable point request information requesting  
valuable points to the communication means corresponding to  
the requested valuable points, according to the exchange  
instructing information; and computer readable program code  
10 means, when valuable points corresponding to the transmitted  
valuable point request information are received, for causing  
a computer to exchange the received valuable points for  
communication points according to a preset exchange rate.

The computer readable recording medium according to  
15 the fifth invention can extend the substantial term of  
validity of valuable points whose expiry date is approaching  
by exchanging the valuable points for communication points  
by a preset exchange rate according to the exchange  
instructing information by the execution of the recorded  
20 program on the computer, and enables an effective use of  
various valuable points of small values which are useless as  
individuals by adding up these valuable points for a single  
communication point, thereby accelerating the spread of  
communication points. Hence, the first business entity who  
25 manages the central unit can obtain new customers and

achieve an improvement in the frequency in use of services.  
Moreover, by introducing a business mode in which the charge  
of services calculated according to the exchange rate is  
paid to the first business entity from the second business  
5 entity who manages the communication device, the second  
business entity can limit profits derived from the  
expiration of the term of validity of valuable points on an  
account book and consequently can never have disadvantages  
from the viewpoint of the taxation system.

10 The above and further objects and features of the  
invention will more fully be apparent from the following  
detailed description with accompanying drawings.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

15 FIG. 1 is an explanatory view showing the concept of  
a point managing system of the present invention;

FIG. 2 is a block diagram showing the structure of  
the point managing system of the present invention;

20 FIG. 3 is a conceptual view showing the recorded  
contents of a communication point database provided in a  
central unit of the present invention;

FIG. 4 is a conceptual view showing the recorded  
contents of an exchange database provided in the central  
unit of the present invention;

25 FIG. 5 is a conceptual view showing the recorded

contents of a customer information database provided in the central unit of the present invention;

FIG. 6 is a conceptual view showing the recorded contents of a valuable point database provided in a cooperate-use terminal unit for use in the point managing system of the present invention;

FIG. 7A and FIG. 7B are flow charts showing a valuable point confirmation process performed by the central unit, customer-use terminal unit and cooperate-use terminal unit for use in the point managing system of the present invention;

FIG. 8A and FIG. 8B are flow charts showing a communication point exchange process performed by the central unit, customer-use terminal unit and cooperate-use terminal unit for use in the point managing system of the present invention; and

FIG. 9 is an explanatory view showing a Web page outputted from the customer-use terminal unit for use in a point managing system of the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

The following description will explain the present invention with reference to the drawings illustrating an embodiment thereof.

FIG. 1 is an explanatory view showing the concept of



a point managing system of the present invention, and FIG. 2 is a block diagram showing the structure of the point managing system of the present invention.

In the drawings, numeral 10 denotes a central unit of the present invention using a WWW server computer. The central unit 10 is managed by a first business entity 100 that provides on-line services such as on-line shopping and on-line games performed on a communication network 400 such as the Internet.

For the on-line services, communication points are used as virtual money which can be used only on the communication network 400. The communication points are given for pay or free of charge from the first business entity 100 to customers 200 as the members using the on-line services, and managed by the central unit 10.

The customers 200 are connected to the communication network 400 through customer-use terminal units 20 such as personal computers, and also have a membership to point services provided by various second business entities 300 that serve valuable points which are exchangeable for various products and services as a reward for consumption activity such as the use of transportation facilities including an air line and purchasing of products in addition to on-line services.

The first business entity 100 and second business

entities 300 made a business cooperation contract with each other, and the second business entities 300 are connected to the communication network 400 through cooperate-use terminal units 30.

5           The central unit 10 includes an auxiliary storage device 12 such as a CD-ROM drive for reading information including programs and data from a recording medium 40 such as a CD-ROM on which information including programs and data for the central unit 10 of the present invention is  
10       recorded, and a recording device 13 such as a hard disk for recording thereon the information including programs and data read by the auxiliary storage device 12.

          By reading the information including programs and data from the recording device 13, storing the information  
15       in a RAM 14 for storing information and executing the information by a CPU 11, the WWW server computer is operated as the central unit 10 of the present invention.

          Additionally, parts of a recording area of the recording device 13 is allotted as a communication point  
20       database 101 that records information related to communication points, an exchange database 102 that records information related to an exchange rate of communication points and various valuable exchange points managed by the second business entities 300, and a customer information  
25       database 103 that records information related to the

customers 200. Furthermore, Web pages described in program languages such as HTML (Hyper Text Markup Language) are recorded.

In addition, the central unit 10 includes an input  
5 device 15 such as a mouse and a keyboard, an output device 16 such as a monitor and a printer, and a communication device 17 that is connected to the communication network 400 to send and receive information to/from other devices.

FIG. 3 is a conceptual view showing the recorded  
10 contents of the communication point database 101 provided in the central unit 10 of the present invention. In the communication point database 101, information related to the communication points is stored as a record including data of items such as a customer ID that identifies the customer 200  
15 and communication points given to the customer 200.

FIG. 4 is a conceptual view showing the recorded  
contents of the exchange database 102 provided in the central unit 10 of the present invention. In the exchange database 102, data indicating the exchange rates between the  
20 valuable exchange points and the communication points managed by the respective second business entities 300 are recorded in the items showing the names of the respective second business entities 300 (or the common names of provided services).

25 Incidentally, the recorded exchange rates are values

set according to the contents of the contracts made between the first business entity 100 and the respective second business entities 300.

FIG. 5 is a conceptual view showing the recorded contents of the customer information database 103 provided in the central unit 10 of the present invention. In the customer information database 103, the information related to a customer 200 is stored as a record including data in the items such as personal information including the name, date of birth, sex distinction and address of the customer 200, and the first identification information including the ID number and password that identify the customer 200.

The customer-use terminal unit 20 has substantially the same structure as the central unit 10, and includes a CPU 21, a recording device 22, a RAM 23, an input device 24, an output device 25, and a communication device 26. In the recording device 22, information including various programs, such as a browsing software program (hereinafter referred to as the browser) for browsing Web pages, and data is recorded. By activating the browser and inputting a URL (Uniform Resource Locator) specifying a Web page recorded in the central unit 10 in a predetermined area displayed on the output device 25, it is possible to access the Web page recorded in the central unit 10.

The cooperate-use terminal unit 30 has substantially

the same structure as the central unit 10, and includes a CPU 31, a recording device 32, a RAM 33, an input device 34, an output device 35, and a communication device 36. A part of the recording area of the recording device 32 is allotted as a valuable point database 301 that records information related to valuable points.

FIG. 6 is a conceptual view showing the recorded contents of the valuable point database 301 provided in the cooperate-use terminal unit 30 for use in the point managing system of the present invention. In the valuable point database 301, information related to valuable points is stored as a record having data in the items such as second identification information including a membership number given to a customer by the second business entity 300 and valuable points given to the customer 200 as a reward for consumption activity.

Next, referring to the flow charts of FIG. 7A and FIG. 7B showing a valuable point authentication process performed by the central unit 10, customer-use terminal unit 20 and cooperate-use terminal unit 30 for use in the point managing system of the present invention.

The customer 200 accesses a Web page recorded in the central unit 10 by connecting the customer-use terminal unit 20 to the communication network 400, activating the browser, and inputting the URL.

Then, the customer 200 inputs the first identification information in a predetermined space on the outputted Web page, and inputs the second identification information in a predetermined space of a section indicating  
5 a second business entity 300 that confirms the status of obtained points and manages valuable exchange points desired to be exchanged for communication points.

The terminal unit 20 accepts the input of the first and second identification information (S101), and transmits  
10 the accepted first and second identification information to the central unit 10 (S102).

The central unit 10 receives the first and second identification information (S103), and performs authentication by collating the received first  
15 identification information and the first identification information recorded in the customer information database 103 (S104).

By performing the authentication process in such a manner, the customer 200 can use the point exchange system  
20 of the present invention. Moreover, when it is confirmed that the first identification information is wrong as a result of the collation, the central unit 10 performs a predetermined abnormal process to request the customer 200 to input the first identification information again.

25 Then, the central unit 10 transmits the received

second identification information to a cooperate-use terminal unit 30 corresponding to the second identification information (S105).

5 The cooperate-use terminal unit 30 receives the second identification information (S106), extracts valuable points corresponding to the second identification information from the valuable point database 301 according to the received second identification information (S107), and transmits valuable point information indicating the value of the extracted valuable points to the central unit 10 (S108).

10 In the cooperate-use terminal unit 30, in the event of confirming and exchanging the valuable points, when personal information such as the name and date of birth of the customer 200 is required in addition to the second identification information, the personal information recorded in the customer information database 103 is automatically transmitted from the central unit 10 to the cooperate-use terminal unit 30. Therefore, the customer 200 can confirm and exchange the valuable exchange points by only inputting the second identification information.

25 The central unit 10 receives the valuable point information (S109), calculates communication point information indicating a value of communication points exchanged for valuable points from a value indicated by the

received valuable point information according to an exchange  
rate recorded in the exchange database 102 (S110), and  
transmits the calculated communication point information and  
valuable point information to the customer-use terminal unit  
5 20 (S111).

The customer-use terminal unit 20 receives the  
communication point information and valuable point  
information (S112), and outputs the value of the  
communication points indicated by the received communication  
10 point information and the value of the valuable points  
indicated by the received valuable point information (S113).

Next, referring to the flow charts shown in FIG. 8A  
and FIG. 8B, the following description will explain a  
communication point exchange process performed by the  
15 central unit 10, customer-use terminal unit 20 and  
cooperate-use terminal unit 30 for use in the point managing  
system of the present invention.

The customer 200 confirms the values of the  
communication points and valuable points outputted in step  
20 S113, and inputs an exchange instruction when the exchange  
of the valuable points for the communication points is  
desired.

The customer-use terminal unit 20 accepts the input  
of the exchange instruction (S201), and transmits exchange  
25 instructing information showing the accepted exchange



instruction to the central unit (S202).

The central unit 10 receives the exchange instructing information (S203), and transmits valuable point request information requesting valuable points to the cooperate-use terminal unit 30 according to the received exchange  
5 instructing information (S204).

The cooperate-use terminal unit 30 receives the valuable point request information (S205), transmits valuable points corresponding to the received valuable point request information to the central unit 10 (S206), and  
10 updates the valuable point database 301 according to the transmitted valuable points (S207).

Incidentally, it has been described that the valuable points are transmitted in step S206. In this step, the cooperate-use terminal unit 30 performs the process of transmitting the valuable point information indicating the value of the valuable points in the same manner as in step  
15 S108.

The central unit 10 receives the valuable points (S208), exchange the received valuable points for communication points according to the exchange rate recorded in the exchange database 102 (S209), updates the communication point database 101 according to the exchanged communication points (S210), and transmits the communication  
20 point information indicating the value of the exchanged  
25

communication points to the customer-use terminal unit 20 (S211).

The customer-use terminal unit 20 receives the communication point information (S212), and outputs the received communication point information and exchange complete information indicating the completion of the exchange (S213).

Besides, when the customer 200 exchanges the valuable points for communication points, a settlement process is performed. In the settlement process, the second business entity 200 pays the first business entity 100 the charge for using services which is calculated based on the exchanged valuable points and the exchange rate.

FIG. 9 is an explanatory view showing a Web page outputted from the customer-use terminal unit 20 for use in the point managing system of the present invention. As shown in FIG. 9, spaces for inputting an ID number and a password as the first identification information are displayed in the upper part of the screen outputted as a Web page. Moreover, in spaces indicating the second business entities 300 located below the spaces for the first identification information, the items showing the names of the second business entities 300 (or the common names of provided services), the membership number indicating the second identification information, valuable points, check

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buttons for specifying whether exchanging is carried out and  
communication points are provided for the output or input of  
the respective data. In further lower positions, a box for  
outputting the total amount of communication points and an  
5 information transmission button are displayed.

The customer 200 inputs data in the items that  
present the second identification information corresponding  
to valuable points which are to be confirmed and/or desired  
to be exchanged, checks "Yes" in the check button indicating  
10 whether exchanging is carried out by the use of the input  
device (mouse) 15, and clicks the lower information  
transmission button located in a lower position so as to  
execute the input of the exchange instruction.

In this case, instead of exchanging all the valuable  
15 points, part of the obtained valuable points may be  
exchanged by inputting a desired value.

Moreover, in the item showing the valuable points and  
the item showing the communication points, the value of the  
valuable points indicated by the received valuable point  
20 information and the value of the communication points  
indicated by the received communication point information  
are outputted, and a value indicating the total amount of  
communication points is outputted from a box that outputs  
the total amount of communication points as the exchange  
25 complete information.

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The above-described embodiment illustrates a mode in which the first business entity solely provides on-line services. However, it is not necessarily to provide on-line services by a sole provider. In other words, it is possible to provide various on-line services by a plurality of cooperating providers and perform centralized management of the communication points serving as virtual money common to various on-line services by the central unit, and thereby increasing the range of on-line services provided for the customers.

Furthermore, although the above-described embodiment illustrates a mode in which an instruction to exchange valuable points for communication points is given after confirming the valuable points, the present invention is not necessarily limited to this mode. In other words, the present invention may be implemented in a mode in which the first and second identification information and the exchange instructing information are transmitted at a time from the customer-use terminal unit to the central unit, and the exchange is immediately performed.

As described in detail above, in the point managing method, point managing system, central unit and recording medium of the present invention, since the user can effectively use valuable points by exchanging the valuable points for communication points according to a preset

exchange rate, it is possible to accelerate the spread of communication points, and consequently the first business entity can obtain new customers and achieve an improvement in the frequency in use of services. Moreover, by  
5 introducing a business mode in which the second business entity pays the first business entity the charge for services according to the exchange rate, it is possible for the second business entity to limit the profits derived from the expiration of the term of validity of valuable points on  
10 an account book, thereby producing such an advantageous effect that the second business entity does not have disadvantages from the viewpoint of the taxation system.

Furthermore, in the present invention, by performing authentication based on the first identification information  
15 given to the customer by the first business entity to ensure safety and then transmitting to the central unit the second identification information given to the customer from the second business entity, it is possible to confirm the status of obtained valuable points corresponding to the second  
20 identification information and exchange the valuable points for communication points. It is therefore possible to manage the valuable points unitarily through the central unit, improve the customer's convenience and prevent the valuable points from falling into oblivion.

25 As this invention may be embodied in several forms

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without departing from the spirit of essential  
characteristics thereof, the present embodiment(s) is(are)  
therefore illustrative and not restrictive, since the scope  
of the invention is defined by the appended claims rather  
5 than by the description preceding them, and all changes that  
fall within metes and bounds of the claims, or equivalence  
of such metes and bounds thereof are therefore intended to  
be embraced by the claims.

## Claims

1. A point managing method for managing points among a first business entity, a second business entity who cooperates with said first business entity and customers of the first and second business entities, said first business entity managing communication points used for on-line services, said second business entity managing valuable points given to customers as a reward for consumption activity, said method comprising the steps of:

instructing said first business entity by said customer to exchange valuable points for communication points;

requesting said second business entity by said first business entity to send valuable points given to the customer, according to the instruction;

sending requested valuable points by said second business entity to said first business entity; and

exchanging received valuable points for communication points by said first business entity, according to a preset exchange rate.

2. A point managing system comprising a central unit for managing communication points used for on-line services, a cooperate-use terminal unit that is connected to said





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corresponding to received valuable point  
request information; and  
updating the valuable point database according to the  
transmitted valuable points; and  
said controller of the central unit further capable  
of performing following operations:

exchanging received valuable points for communication  
points according to an exchange rate recorded  
in said exchange database; and  
updating said communication point database according  
to the exchanged communication points.

3. The point managing system as set forth in claim 2,  
wherein

said controller of the customer-use terminal unit  
further capable of performing following operations:

accepting input of first identification information  
related to customers and second identification  
information that is different from said first  
identification information; and

transmitting said first and second identification  
information to said central unit;

said controller of the central unit further capable of  
performing the following operations:

authenticating said customer-use terminal unit based

on received first identification information;  
and  
transmitting received second identification  
information to said cooperate-use terminal  
unit;

said valuable point database provided in said  
cooperate-use terminal unit stores valuable points recorded  
to correspond to the second identification information; and

said controller of the cooperate-use terminal unit  
further capable of performing the operation of extracting  
from the valuable point database valuable points to be  
transmitted to said central unit, according to received  
second identification information.

4. A central unit connected to a cooperate-use  
terminal unit for managing valuable points representing a  
reward for consumption activity and a customer-use terminal  
unit, for managing communication points used for on-line  
services, comprising:

a communication point database that records  
information related to communication points;

an exchange database that records information related  
to an exchange rate between communication points and  
valuable points; and

a controller, coupled to said communication point

database and said exchange database, and capable of performing the following operations:

when exchange instructing information instructing an exchange of valuable points for communication points is received, for transmitting to said cooperate-use terminal unit valuable point request information requesting valuable points corresponding to the customer-use terminal unit according to the received exchange instructing information;

when valuable points corresponding to the transmitted valuable point information are received, for exchanging the received valuable points for communication points according to an exchange rate recorded in said exchange database; and updating said communication point database according to the exchanged communication points.

5. A computer readable recording medium including thereon a recorded computer program for causing a computer having communication means to manage communication points used for on-line services, comprising:

computer readable program code means, when exchange instructing information instructing an exchange of valuable points representing a reward for consumption activity for

communication points is received, for causing a computer to transmit valuable point request information requesting valuable points to said communication means corresponding to the requested valuable points, according to said exchange instructing information; and

computer readable program code means, when valuable points corresponding to the transmitted valuable point request information are received, for causing a computer to exchange the received valuable points for communication points according to a preset exchange rate.





FIG. 2

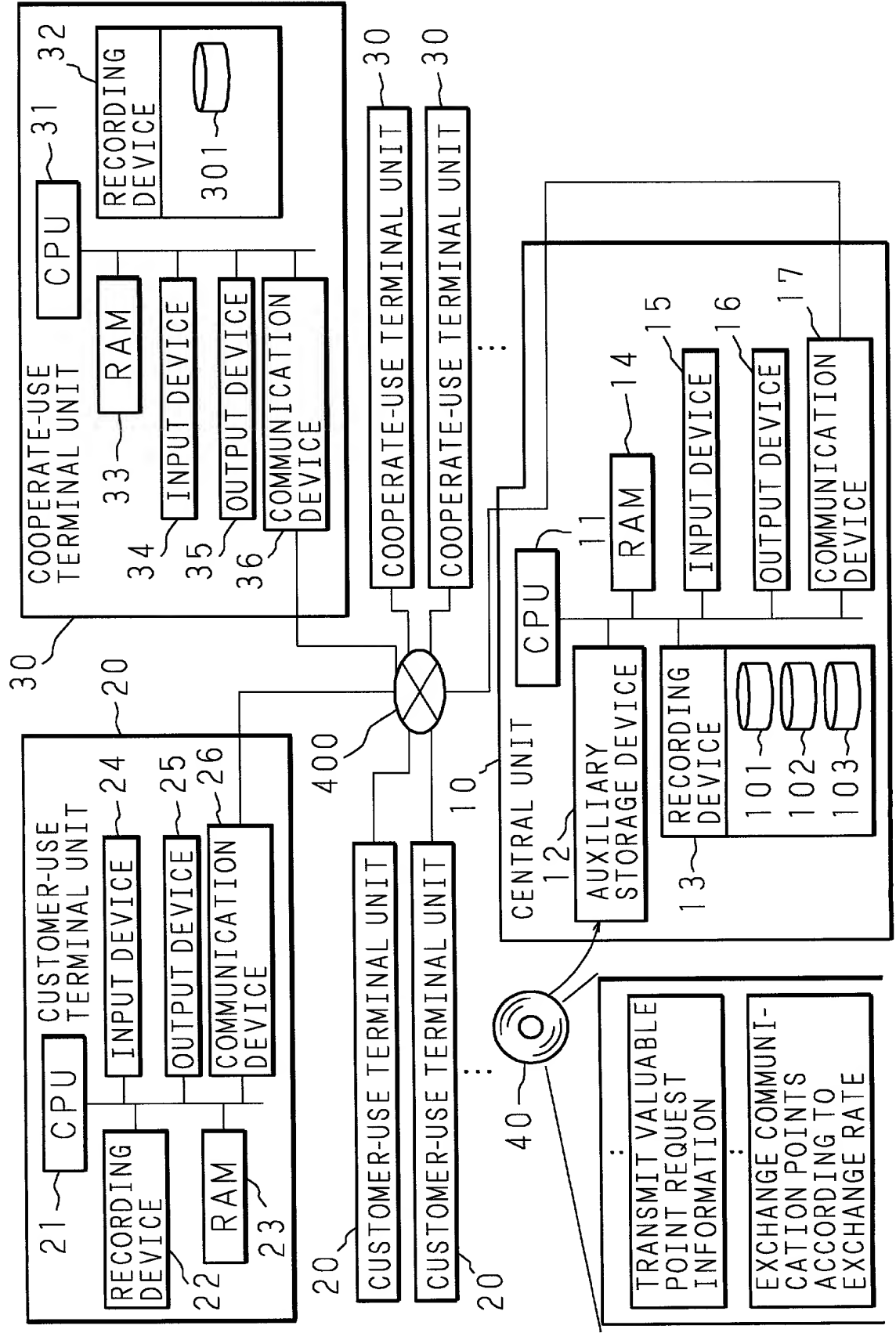


FIG. 3

CUSTOMER ID	COMMUNICATION POINT	
ID00001	10,050	
ID00002	300	
ID00003	40,200	
⋮	⋮	



FIG. 4

NAME	RATE
××CARD	0. 42
△△AIR LINE	0. 66
□□BOOK SHOP	1. 00
⋮	⋮

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FIG. 5

PERSONAL INFORMATION				1 <sup>st</sup> IDENTIFICATION INFORMATION	
NAME	BIRTH DAY	SEX	ADD.	ID No.	PASSWORD
OOxx	1960/03/23	M	OSAKA...	ID000001	*****
ΔΔ□□	1980/09/09	F	KOBE...	ID000002	*****
xxΔΔ	1973/12/24	M	KYOTO...	ID000003	*****
:	:	:	:	:	:

FIG. 6

2nd IDENTIFICATION INFORMATION	VALUABLE POINT
MEMBERSHIP No.	
AD100020	2,000
AD100021	50
AD100022	15,500
⋮	⋮

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FIG. 7A

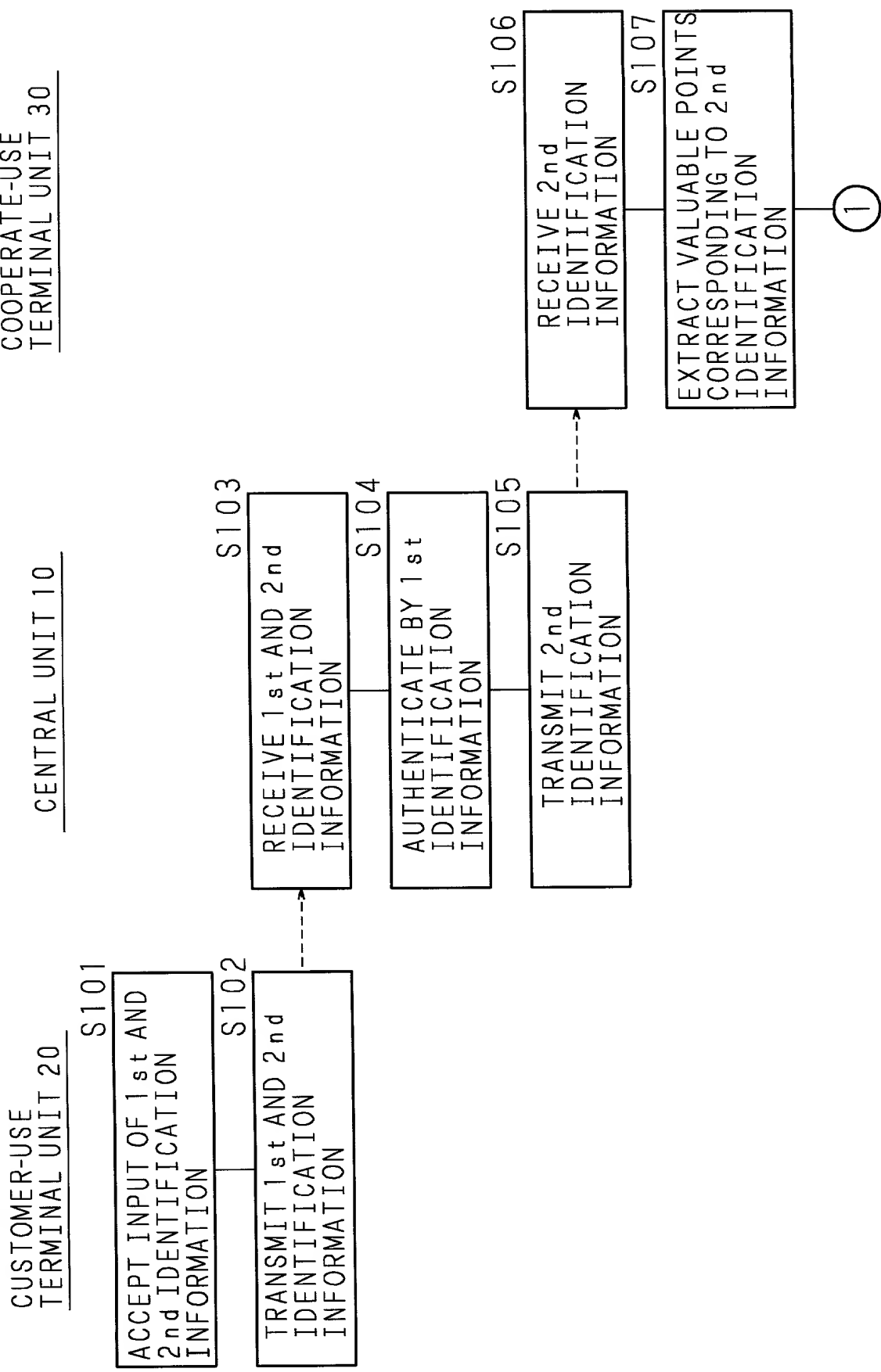


FIG. 7B

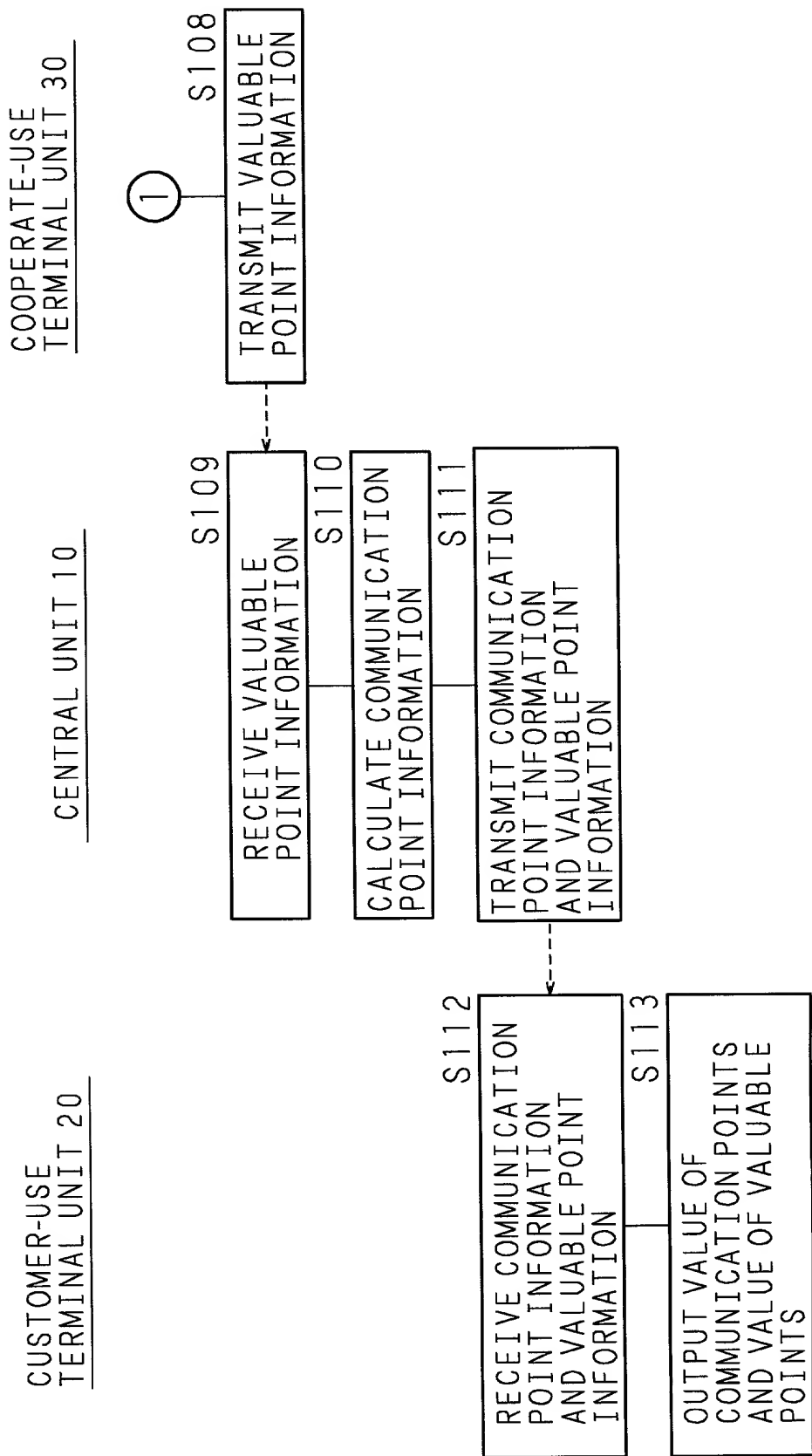




FIG. 8B

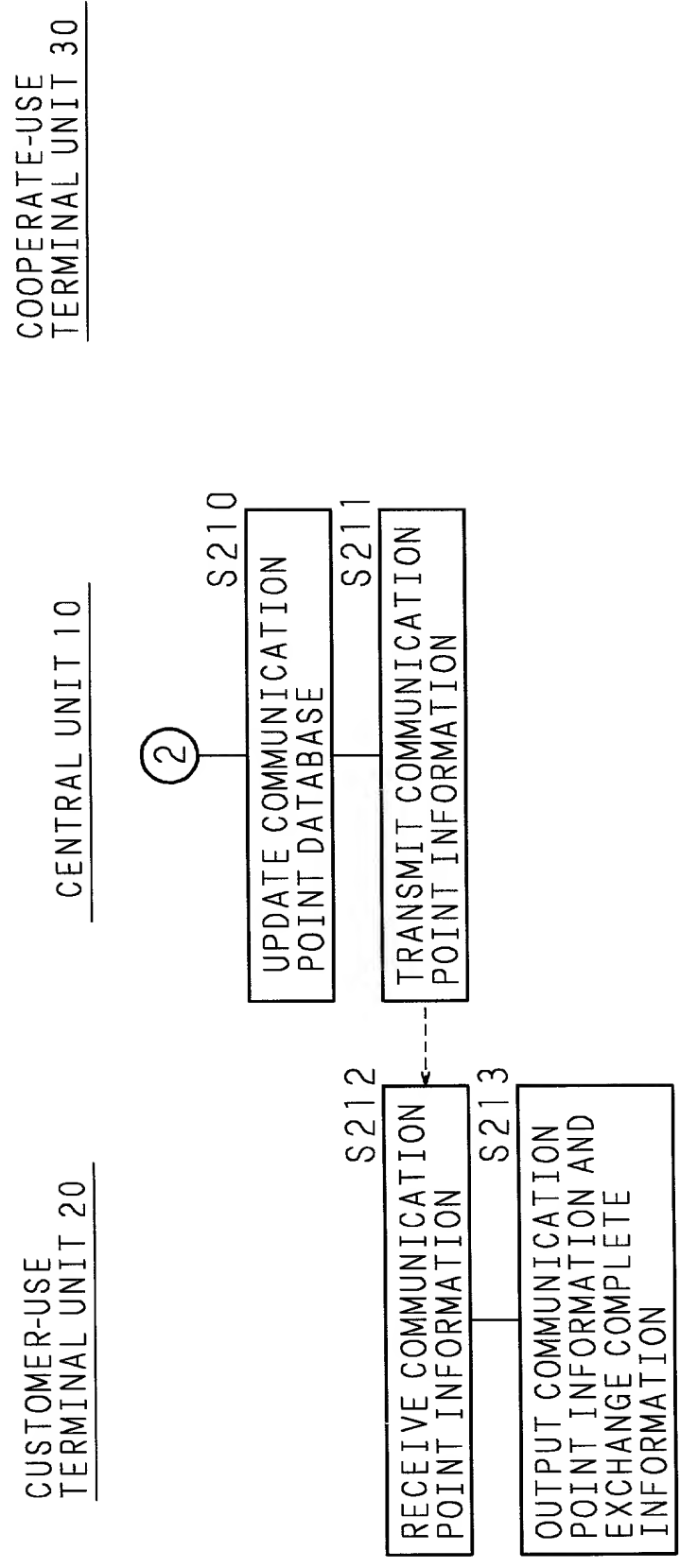


FIG. 9

ID No. ID000001

PASSWORD \*\*\*\*\*

NAME	MEMBERSHIP No.	VALUABLE POINT	EXCHANGE?	COMMUNICATION POINTS
xxCARD	AD100020	2,000	<input checked="" type="radio"/> Yes <input type="radio"/> No	840
ΔAIR LINE	JL32D54	10,000	<input type="radio"/> Yes <input type="radio"/> No	
<input type="checkbox"/> BOOK SHOP			<input type="radio"/> Yes <input type="radio"/> No	
OOELECTRIC			<input type="radio"/> Yes <input type="radio"/> No	
:	:	:	:	:

TOTAL COMMUNICATION POINTS

1,240

pts

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## Declaration and Power of Attorney For Patent Application

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### Japanese Language Declaration

### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

POINT MANAGING METHOD, POINT MANAGING  
SYSTEM, CENTRAL UNIT AND RECORDING  
MEDIUM

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

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☐ was filed on \_\_\_\_\_  
as United States Application Number or  
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\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、  
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I hereby state that I have reviewed and understand the contents of  
the above identified specification, including the claims, as  
amended by any amendment referred to above.

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#### Prior Foreign Application(s) (for a patent)

外国での先行出願

2000-85057

(Number)  
(番号)

Japan

(Country)  
(国名)

(Number)  
(番号)

(Country)  
(国名)

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(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

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(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

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Priority Not Claimed

優先権主張なし

24/3/2000

(Day/Month/Year Filed)  
(出願年月日)

☐

(Day/Month/Year Filed)  
(出願年月日)

☐

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

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(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## Japanese Language Declaration (日本語宣言書)

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

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(Supply similar information and signature for third and subsequent joint inventors.)